

ground gray levels to which the gray level of candidate security particles will be compared. Average gray levels may be established for portions of an image or an entire image. In one embodiment, average gray level is determined by for each of the 80 sections by calculating (R level+G level+B level)/3 for each pixel in the section. These average gray levels will be used as background gray levels to which the gray level of candidate particles will be compared.

[0102] Once an average gray level determination has been completed, the software scans each pixel block in the image. In one embodiment, the CMS 15A starts at the upper left corner of the image and proceeds top-to-bottom and left-to-right. Pixel scanning examines each pixel block to determine if the gray level for a pixel is above a certain value. In preferred embodiments, the value is a threshold ratio of the gray level of the pixel to the average gray level of a portion of the image, or the entire image. Where the gray level of the composite color pixel 1110 exceeds the average gray level, the composite color pixel 1110 is tested for color.

[0103] FIG. 10 is an illustration of the processing of an image 404 of a document 200 submitted for authentication, wherein the image 404 has been divided into 80 individual sections 610. One section 610, in the upper left hand corner is further presented as FIG. 10A. FIG. 10A shows the section 610 contains a grid 1100 formed of composite color pixels 1110. The grid 1100 is 34 pixels wide by 32 pixels high. In FIG. 10A, three security particles 1150 are shown.

[0104] In one exemplary embodiment of a set up of the device 5, each axis of the selected color space correlates to a color in the bayer mosaic pattern 510. For example, the X axis represents red, the Y axis represents blue, and the Z axis represents green. Accordingly, the bayer mosaic pattern 510 is constructed of color filters 22 that are one of red, blue and green. In this embodiment, the brightness of a given color correlates to distance from the origin in the color space, and increases with distance therefrom.

[0105] Color testing of a pixel 1110 begins with assigning a set of color space coefficients to the pixel 1110. Once color coordinates for the pixel 1110 are known, the location in the color space of the pixel 1110 is checked for membership in several "color cones." The color cones are actually sets of color coordinates that are representative of the pigments associated with the security particles 1150 appearing in an authentic document 200, and are described as cones due to their representation within the color space. A color cone 700 is illustrated in FIG. 11.

[0106] In other embodiments, the color cone 700 is described by other shapes. For example, in embodiments using color space models having different coordinate systems, the color cone 700 may appear more as a box, or as a cell within a cylinder.

[0107] In a preferred embodiment, testing of the membership of the color of the pixel block 1110 within the color cone 700 is completed by comparing pairs of coordinates representing the projection of the color point onto each of the three color planes (RG 701, GB 702, RB 703) with data describing authentic colors.

[0108] Consider one example where a measured value for a pixel block 1110 is plotted in colorspace having R, G and B axes, as shown in FIG. 11. Within the colorspace, a color cone 700 defines a set of points that represent valid colors.

When the color cone 700 is projected on to the principle planes of the colorspace (RG 701, GB 702, RB 703), the color cone 700 is defined by two lines which define the boundaries 711, 712 of the color cone 700 as a valid color area 705. A valid color area 705 is thus defined in each of the principal planes 701, 702, 703. The lines in each principal plane 701, 702, 703 are described by the equation:

$$y=mx+\beta$$

[0109] or,

$$b=mg+\beta$$

[0110] The lines have known values for slope m and intercept β in each plane 701, 702, 703. Various methods may be used to determine the slope m and intercept β . In one embodiment, these values are derived empirically, using a predetermined set of data. In another embodiment, a line fit is completed using computational techniques for analysis of the predetermined set of data.

[0111] Using this relation, the position of a pixel 1110 in the GB plane 702 is given by (g, b). This position is evaluated against the two line equations that correspond to the boundaries of the valid color area 705. For the upper line, the "g" value for the pixel 1110 is multiplied by the slope (m), and this result is added to the intercept (β). The process is carried out again for the lower line. If the "b" value for the pixel 1110 is both below the upper line's value and above the lower line's value, the color value of the pixel 1110 is determined to reside within the GB 702 projection of the color cone 700. Thus, testing the color of a pixel 1110 in a principal plane 701, 702, 703 involves two multiplications, two additions and one comparison and results in a determination of whether the color of a pixel 1110 resides within (is a member of) a valid color area 705. In this case, the valid color area 705 is defined by an upper boundary 711 and a lower boundary 712.

[0112] Testing the membership of a pixel 1110 is repeated for remaining principal planes 701, 703. Thus, for a three test involving three colors, the foregoing operations are carried out a total of nine times per particle (i.e., 3 color cones \times 3 principal planes).

[0113] FIG. 12 provides an illustration of two valid color areas 8705, 8706 projected onto the GB plane 702. Each valid color area 8705, 8706 has associated with it, a series of composite color pixels 8110, 8111. As can be seen referring to FIG. 12, some of the pixels 8110, 8111 lie within the respective valid color area 8705, 8706, some of the pixels 8110, 8111 outside of the respective valid color area 8705, 8706. The foregoing calculation therefore determines whether each of the pixels 8110, 8111 is valid or invalid when compared to the respective valid color area 8705, 8706. Accordingly, statistical qualifications can be useful in determination of overall authenticity of a substrate 200. For example, a statistical qualification may include evaluation of error at a specific confidence interval.

[0114] In the foregoing embodiment, the color of the pixel 1110 is tested against the valid color areas 8705, 8706 having an upper boundary 711 and a lower boundary 712. However, it is recognized that these boundaries 711, 712 may be uniquely associate with certain color space models. Therefore, it is recognized that other color models may present valid color areas that are defined by other sets of boundaries.